

Addleman and Gottschall in Bloomberg Law: ‘Reading Between the Lines of the SEC Staff’s Care Obligation Bulletin

July 5, 2023 Kit Addleman, Kurt Gottschall

Partners [Kit Addleman](#) and [Kurt Gottschall](#) authored an article in *Bloomberg Law* discussing the SEC’s new staff bulletin on care obligation and the important takeaways to be aware of.

Read an excerpt below:

The SEC staff issued a Bulletin recently that provides guidance regarding the care obligations applicable to broker-dealers under Regulation Best Interest and investment advisers under the Investment Advisers Act of 1940 fiduciary standard. The bulletin follows similar staff guidance concerning Account Recommendations for Retail Investors from March 2022 and Conflicts of Interest from August 2022.

To read the full article on *Bloomberg Law*, [click here](#).