

Financial Regulatory Roundup November 2025

November 11, 2025 Neil Issar, Brian Sung, Brianne Wylie

PRACTICES Financial Regulatory, Finance

We're pleased to share the November edition of our Financial Regulatory Roundup Newsletter, highlighting key developments shaping the financial regulatory landscape.

In the latest issue we discuss:

- SEC Chairman Atkins Issues Statement Regarding Security-Based Swap Dealer De Minimis Threshold Phase-In Period and Lookback Period
- Joint FDIC-OCC Proposals on Use of 'Reputation Risk,' 'Unsafe or Unsound Practices' and Supervisory Communications
- Multi-Agency FAQs Clarify Suspicious Activity Reporting Requirements
- Fed Governor Barr Addresses 'Gaps' in the GENIUS Act's Framework
- Federal Reserve Proposes Revised Supervisory Stress Testing Framework

[Read the November newsletter here.](#)