

SEC Enforcement Highlights 2024

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PRACTICES Criminal Investigations and Defense, Investment Banking and Broker Dealer, Crisis Management, Privacy and Cybersecurity, Securities and Shareholder Litigation, Foreign Corrupt Practices Act FCPA, Investment Management, Litigation

After another year of aggressive SEC enforcement, the agency is on the precipice of significant change. Following the presidential election, Chair Gary Gensler indicated his intention to step down on Jan. 20, 2025. And on Dec. 4, 2024, President-Elect Donald Trump announced his intention to nominate former SEC Commissioner Paul Atkins as the next SEC Chair. We expect that former Commissioner Atkins will be confirmed by the Senate and that he will make meaningful changes to the SEC's enforcement program. However, we also know from experience that agency transitions take many months or even years to complete. Therefore, as we look to 2025, it remains as important as ever to carefully study the significant actions recommended by the SEC Staff and approved by the Commission during FY 2024 – which spanned from October 2023 through September 2024 – with a particular focus on actions against public companies and investment advisers.

[Read the SEC Enforcement Highlights in 2024 here.](#)