Stuart Slayton

Associate

Dallas

Stuart.Slayton@haynesboone.com

+1 214.651.5128

PRACTICES Investment Management, Private Equity, Fund Formation and Management, Portfolio Companies and Investors, Corporate, Financial Regulatory, Regulatory Compliance, Family Office, Capital Markets and Securities

Stuart Slayton is an associate in the Investment Management Practice Group in Haynes Boone's Dallas office. Stuart's practice focuses on the formation, structuring, and regulation of private funds and their advisers, and he regularly advises clients regarding general corporate matters. Prior to joining the firm, Stuart was a legal analyst for the Arizona Attorney General, where he helped pass legislation creating the United States' first regulatory sandbox for innovative financial products and services and advise on policies relating to the sandbox's implementation.

Stuart also represents various clients pro bono in conjunction with Advocates for Community Transformation, a non-profit dedicated to reducing crime and creating safe neighborhoods and tutors refugee children in English through Refugee Resources, a Dallas-based non-profit.

QUALIFICATIONS

EDUCATION

- J.D., Harvard Law School, 2021, Managing Editor, *Harvard Journal of Law & Public Policy*
- B.A., Psychology, Pepperdine University, 2017, summa cum laude

ADMISSIONS

- Texas

PUBLICATIONS AND SPEAKING ENGAGEMENTS

- SEC Adopts Significant New Rules for Advisers and Private Funds, co-author, Haynes Boone Alert, August 2023
- DOJ Charges Wire Fraud (Read, Insider Trading) in NFTs, co-author, Haynes Boone Alert, June 2022

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- 'What Sanctions Mean for Funds' Subscription Credit Facilities', co-author, Haynes Boone Alert, May 2022
- SEC Proposes Amendments to Form PF, co-author, Haynes Boone Alert, February 2022
- First in the Nation: Arizona's Regulatory Sandbox, 29 STAN. L. & POL'Y REV. ONLINE 1–17 (2018) (with P. Watkins & E. Daniels) (cited in FINANCIAL ADVICE & INVESTOR PROTECTION; WASH. U. J. L. & POL'Y; DARTMOUTH L.J.).

PROFESSIONAL AFFILIATIONS AND ENGAGEMENTS

- Special Needs Volunteer, Eastside Community Church
- President, Pepperdine Psi Upsilon Alumni Organization

SELECTED CLIENT REPRESENTATIONS

- Drafting a comment letter on behalf of the firm regarding rules applicable to private funds proposed by the SEC and directing and producing an explanatory webinar on the rules following their adoption.
- Organizing and automating the fundraising process for a retail private equity fund with over 1,000 investors and approximately $1B in capital commitments, including the coding of data transformation, synthesis, and analysis processes.
- Negotiating a seed and revenue sharing agreement in connection with a $1.75B investment between investment advisers.
- Forming, structuring, and operating insurance dedicated funds, including private equity, hybrid, and hedge fund structures, each of which customarily involves thorough negotiations with IDF counterparties.

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