



**Carrington M. Giammittorio**

**Partner**

**Dallas**

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**PRACTICES** Litigation, Government Enforcement and Litigation, Securities and Shareholder Litigation, Private Antitrust Litigation, Antitrust and Competition, Products Liability Litigation, Foreign Corrupt Practices Act (FCPA), Crisis Management

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Carrington Giammittorio's practice focuses on government and internal investigations and associated class action litigation. Carrington's experience with government enforcement as well as complex, private litigation makes her uniquely suited to guide companies and individuals through parallel and iterative proceedings—helping her clients build a solid defense on all fronts. She is a strong advocate for her clients and routinely manages complex legal disputes with a sharp eye and a steady hand.

Carrington has extensive experience with securities law, often sitting across the table from both the Securities and Exchange Commission and U.S. Department of Justice, but her experience extends to a variety of other highly regulated industries. In addition to the SEC and DOJ, Carrington has helped clients respond to inquiries from government agencies such as the Texas State Securities Board, FINRA, the National Transportation Safety Board, the Pipeline and Hazardous Materials Safety Administration, and the Consumer Products Safety Commission. Further, her experience with complex class actions related to these heavily regulated industries allows her to manage investigations without compromising (or contradicting) the defense of simultaneous or follow-on private litigation. Her experience with clients in these industries also allows her to serve as key resource on regulatory issues up to and through trial.

When not serving clients, Carrington is committed to arts, philanthropy, and mentorship. She is a 2025 Fellow of the Business Council for the Arts' Leadership Arts Institute, an initiative which brings together emerging leaders and art lovers throughout the Dallas Metroplex to develop skills necessary for arts organization governance and stewardship. She also serves on the Alpha Delta Pi Foundation Advisory Council, having previously served as its National Chair. She is also passionate about fostering the professional development of current undergraduate students at her alma mater and provides advice to students interested in law school and the legal profession through the University of Virginia's Alumni Mentoring system.

At the University of Virginia School of Law, Carrington was the inaugural Law, Psychiatry, and Public Policy Fellow and served as an Articles Editor for the *Virginia Journal of Criminal Law*. These are interests she continues to leverage in her pro bono work with the firm, focusing on the intersection of law and mental health in both the criminal and civil context, including in capital matters.

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## QUALIFICATIONS

### EDUCATION

- J.D., University of Virginia School of Law, 2016, *Virginia Journal of Criminal Law*, Articles Editor
- B.A., University of Virginia, 2012, *Echols Scholar*

### ADMISSIONS

- Texas

### COURT ADMISSIONS

- U.S. District Court for the Northern District of Texas
  - U.S. District Court for the Southern District of Texas
  - U.S. District Court for the Eastern District of Texas
  - U.S. District Court for the Western District of Texas
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## PUBLICATIONS AND SPEAKING ENGAGEMENTS

- "[SEC Enforcement Highlights 2023](#)," co-author, January 8, 2024.
  - "[SEC Enforcement Highlights 2022](#)," co-author, December 8, 2022.
  - "[SEC Announces Proposed Cybersecurity Rules for Advisers and Funds](#)," co-author, February 22, 2022.
  - "A Look at the SEC's Last Decade of Cybersecurity Enforcement Efforts, Part II: Focus on Registered Entities," *American Bar Association*, February 15, 2022.
  - "A Look at the SEC's Last Decade of Cybersecurity Enforcement Efforts, Part I: Focus on Public Companies," *American Bar Association*, January 7, 2022.
  - "[SEC Enforcement Highlights 2021](#)," co-author, December 7, 2021.
  - "[SEC Scrutinizes Response to Cyberattacks at Eight Firms](#)," co-author, September 3, 2021.
  - "[Securities Litigation 2020 Year in Review](#)," co-author, February 4, 2021.
  - "2020 Year in Review: Antitrust and Business Litigation," co-author, *Texas Bar Journal 2020 Year in Review*, January 2021.
  - "[SEC Enforcement Action Slices into The Cheesecake Factory's COVID-19 Disclosures](#)," co-author, December 9, 2020.
  - "2019 Year in Review: Antitrust and Business Litigation," co-author, *Texas Bar Journal 2019 Year in Review*, January 2020.
  - "[Fraud, \(Don't\) Pass it on: U.S. Supreme Court Affirms Extension of 10b-5 Liability to Disseminators](#)," co-author, March 29, 2019.
  - "2018 Year in Review: Antitrust and Business Litigation," co-author, *Texas Bar Journal 2018 Year in Review*, January 2019.
  - "[A Little Less Talk: Attorneys' Daily Risk of Insider Trading Liability](#)," author, *The DICTA*, Dallas Association of Young Lawyers, June 2017.
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## PROFESSIONAL AFFILIATIONS AND ENGAGEMENTS

- Included in the "Ones to Watch" category of *Best Lawyers in America*, Woodward/White, Inc., 2021-2023
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## SELECTED CLIENT REPRESENTATIONS

- Representation of public company and certain officers in simultaneous SEC investigation and putative class action related to disclosures regarding order backlog; received termination letter from SEC staff and dismissal with prejudice of federal complaint under the Private Securities Litigation Reform Act.
  - Defense of Goldman Sachs and underwriter syndicate in securities class action alleging false and misleading statements in the public offering documents of Adeptus Health Inc.
  - Defense of public oil and gas company, private investment firm, and certain company officers and directors in parallel state and federal 1933 Act cases related to offering disclosures.
  - Representation of public company CFO in connection with special committee investigation related to stock repurchase program.
  - Defense of multiple investigations related to potential insider trading; received closing letters from SEC staff indicating no further action.  
Representation of public company CEO following receipt of Wells notice; persuaded the Securities and Exchange Commission to drop charges that CEO misled investors about the company's credit portfolio and associated risk.
  - Representation of Fortune 50 company in connection with SEC investigation into public disclosures following an internal investigation regarding conduct of sales personnel that were the simultaneous focus of a putative class action; received closing letters from SEC staff indicating no further action.
  - Representation of investment advisers and investment funds in addressing and responding to deficiency letters from the SEC Division of Examinations, avoiding referrals to the Enforcement Division.
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## AWARDS AND RECOGNITIONS

- Included in the "Ones to Watch" category of *Best Lawyers in America*, Woodward/White, Inc., 2021-2025
- Accepted into the Leadership Arts Institute Class of 2024 - 2025, Business Council for the Arts